PFC CONSULTING LIMITED

(A wholly owned subsidiary of Power Finance Corporation Limited)

Empanelment of Consulting Organizations

PFC Consulting Limited (PFCCL) is a Consulting Organization providing consultancy services to the power sector since Oct.'99, making available expertise to various entities in order to strengthen the power sector and thereby bring about improvements in financial, technical and institutional management.

The services have been categorized as under:

Area Code	Particulars
1 (a)	Strategy and Policy
1(b)	Human Resources Plan
1©	Organisational Development Plan
1(d)	Preparation of systems/rules/procedures/manuals
2	Financial Services
2 (a)	Drafting, vetting, reviewing and evaluation of RFQ, RFP and Agreements for PPP projects
2 (b)	Drafting, vetting, reviewing and evaluation of RFQ, RFP and Agreements for other projects
2(c)	Commercial support and due diligence
2(d)	Logistics and Supply Chain
2 (e)	Transaction Services
2(f)	Financial Restructuring of Entities
2(g)	Resource Mobilization (Domestic and Foreign currency)
2 (h)	Mergers and Acquisition
2(i)	Asset Valuation
2 (j)	Taxation
2 (k)	Insurance Advisory /Lender's Insurance Advisor
2 (l)	Actuarial Valuation
2 (m)	Accounts Function
2 (n)	Internal Audit
3	Project planning/structuring/ conducting technical studies/preparation of Pre-Feasibility Report/Detailed Project Report
3 (A)	Conducting Technical studies and preparation of PFR/DPR for obtaining, interalia, Environmental clearance for Thermal Power Projects
3 (B)	Conducting Technical studies and preparation of PFR/DPR for obtaining, interalia, Environmental clearance for Hydro Power Projects
3 (C)	Conducting Technical studies and preparation of DPR/ Feasibility Report for obtaining inter-alia Environmental clearance for New and Renewable Energy
(i)	Site selection
(ii)	Preparation of Pre-feasibility Report
(iii)	Preparation of plant layout

Area Code	Particulars
(iv)	Geo Technical Studies
(v)	Socio-economic Studies/ Socio-impact assessment/ Corporate Social
	Responsibility Plans/ Resettlement and Rehabilitation plans
(vi)	Topographical Survey
(vii)	Area Drainage Studies
(viii)	Hydrographical/Hydrological Surveys
(ix)	Environment Impact Assessment Studies / Environment Management Plans
(x)	Preparation of DPR
(xi)	Studies related to Forest and for obtaining clearance
(xii)	Marine and Port Studies
(xiii)	Conducting Public hearing
(xiv)	Survey and Land Acquisition
(xv)	Water availability and transportation (Coal and POL) Studies
(xvi)	Consultancy Services for FGD
(xvii)	Lender's Independent Engineer
(xviii)	Any other activities/ studies (please mention)
3 (D)	Power System Planning and Forecasting
(i)	Demand Supply Analysis and Forecasting
(ii)	Short, Medium and Long term
3 (E)	Transmission
(i)	Power Transmission - Route Survey, analysis and DPR preparation
(ii)	Power Transmission – Obtaining Clearances/Approvals
(iii)	Network studies and load flow studies
(iv)	Smart Grid Technology
(v)	Lender's Independent Engineer
3(F)	Distribution
(i)	Network studies and load flow studies
(ii)	Project monitoring
(iii)	Audit, inspection of materials and workmanship
(iv)	Preparation of DPR, GPS survey
(v)	Any other activities/ studies (please mention)
(vi)	Smart Grid Technology
3(G)	Coal Block/ Mines Studies
(i)	Topographical survey, DGPS, exploration & GR preparation
(ii)	Preparation of Mining plan, feasibility report and cost of production of coal
(iii)	Audit, inspection of materials and workmanship
(iv)	Forest land diversion
(v)	Any other activities/ studies (please mention)
3(H)	Engineering, Procurement and Construction for
(i)	Thermal Power Projects
(ii)	Hydro Power Projects
(iii)	New and Renewable Energy Projects
(iv)	Transmission Projects

Area Code	Particulars
(v)	Distribution Projects
4	Corporate Communications
4(a)	Corporate film making and Audio visual publicity
4(b)	Event management
5	Information Management System
5(a)	Website/software design and maintenance
5(b)	IT Enabled Services
6	Legal and contracts related services
6(a)	Drafting, vetting, reviewing and evaluation of RFQ, RFP and Agreements for PPP projects
6(b)	Drafting, vetting, reviewing and evaluation of RFQ, RFP and Agreements for other projects
6(c)	Legal opinion on Land Acquisition Act, Electricity Act 2003, FEMA, and any other Act ,rules/procedures/documents of PFCCL etc.
7	Manpower agency providing technical professionals in Generation/Transmission/Distribution/any other areas of Power Sector
8	Architectural and Interior Designer

Consulting Organizations would normally be empanelled with PFCCL for a block of 5 (five) years. During this period of 5 years, empanelment process would, however, be kept open and applications from Consulting Organizations would be accepted and processed on a quarterly basis. The last date of validity of empanelment would remain same for all the Consulting Organizations irrespective of their date of empanelment during the said block of 5 years (w.e.f June 12, 2018).

Consultancy firms desirous of empanelling with PFCCL in one or more of the above mentioned 8 main areas and sub-areas may apply giving the particulars as per forms I to V.

The application for empanelment/re-empanelment should be accompanied with a Demand Draft or Banker's Cheque of Rs.10,000/- towards <u>non-refundable processing fee</u>, payable to "PFC Consulting Limited" at New Delhi. However, the firms already empanelled with Power Finance Corporation Ltd. (PFC Ltd.) (Only for Lender's Independent Engineer, Lender's Insurance Advisor and Valuer) would be exempted from submission of empanelment Fee of Rs. 10,000/- alongwith application (on production of valid empanelment letter of PFC Ltd.).

Foreign firms not having an office in India may also apply with payment of Processing Fee in Indian Rupees through their respective Embassy – Trade / Commerce wing.

The last date for receipt of the requests is upto the last working date of every Quarter.

Please note:

- 1. Firms not having GSTN Registration or not having applied for GSTN Registration before the last date of Receipt of the requests will be rejected unless exempted by Law.
- 2. PFCCL reserves the right to accept or reject any other request for empanelment also without assigning any reason. Firms empanelled will be informed suitably.

Note: The Application in Hard Copy along with Bank Draft/ Banker's Cheque should by sent by Hand/Post/Courier. A soft copy should also be sent by e-mail or in a compact disc.

Apply to:

EVP Tel: (011) 2344 3905 **PFC Consulting Limited Fax:** (011) 2344 3990

9th Floor, A Wing, Statesman House

Connaught Place, Email: pfcconsulting@pfcindia.com

New Delhi - 110 001

FORM - I

APPLICATION:

To,

EVP PFC Consulting Limited 9th Floor, A Wing, Statesman House, Connaught Place New Delhi - 110 001

Dear Sir,

We wish to apply for empanelment/re-empanelment with PFCCL as a consulting Organisation as per details enclosed.

1	Name of the			
	Organisation			
	Address, Contact Nos. &			
2	E-Mail with Name and			
	Mobile No. of Contact			
	Person			
3	Year of Establishment			
4	Total Personnel			
	(Professionals only)	Number		
5	Annual Turnover (last 3	FY	FY	FY
	financial years)			
	,			
		(Rs. In lakhs)	(Rs. In lakhs)	(Rs. In lakhs)
6	Latest Audited Annual		: To be enclosed	/
	Accounts			
7	GSTN		: To be enclosed	
	Registration (copy of			
	certificate or proof of			
	application submitted)			
8	Registration with SEBI		: To be enclosed	
	for Merchant Banking			
	Firms / With ICAI for			
	CA Firms or other			
	statutory registrations			
	with a copy of the same,			
	as applicable for the			
	services offered			
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9	Specific Area of Expertise with Code(s),	: To be enclosed as per Form - II
	applied for empanelment.	
10	Range of Services	: To be enclosed separately in not more than
	offered	two pages.
	Key Personnel	Number
11	(Professionals only)	(Brief of qualifications / experience of each of the key
		personnel to be enclosed as per Form - III).
12	Summary of Professional	
	Experience of the	:To be enclosed as per Form – IV
	Organisation, Separately	
	for each Area Code	
	Applied For	
13	Brief of Major	: To be enclosed as per Form – V
	Consultancy	
	Assignments	
	Undertaken, in last three	
	financial years for each	
	Area Code Separately.	
	Assignments should be	
	chosen to highlight	
	diversity of experience,	
	and highlighting multiple	
	assignments with similar	
	experience should be	
	avoided	

We affirm that the information provided is true, correct, complete and nothing has been concealed thereof. We undertake that in case any information provided is found incorrect at any time hereafter, our application/empanelment stands rejected/terminated as the case may be.

(Signature of *authorized person on Behalf of the organization)

^{*}Authorized by Board resolution or any other document certifying authorization of person.

Downloadable

FORM - II

Specific Area of expertise with Code(s) applied for empanelment

Area Code	Particulars	Areas Applied for (Please tick the one applied for)		
1 (a)	Strategy and Policy			
1(b)	Human Resources Plan			
1©	Organisational Development Plan			
1(d)	Preparation of systems/rules/procedures/manuals			
	Financial Services			
2 (a)	Drafting, vetting, reviewing and evaluation of RFQ, RFP and Agreements for PPP projects			
2 (b)	Drafting, vetting, reviewing and evaluation of RFQ, RFP and Agreements for other projects			
2 ©	Commercial support and due diligence			
2(d)	Logistics and Supply Chain			
2 (e)	Transaction Services			
2(f)	Financial Restructuring of Entities			
2(g)	Resource Mobilization (Domestic and Foreign currency)			
2 (h)	Mergers and Acquisition			
2(i)	Asset Valuation			
2 (j)	Taxation			
2 (k)	Insurance Advisory			
2 (l)	Actuarial Valuation			
2 (m)	Accounts Function			
2 (n)	Internal Audit			
3	Project planning/structuring/ /conducting technical studies/preparation of Pre-Feasibility Report/Detailed Project Report	3A	3B	3 C
3 (A)	Conducting Technical studies and preparation of PFR/DPR for obtaining, inter-alia, Environmental clearance for Thermal Power Projects			
3 (B)	Conducting Technical studies and preparation of PFR/DPR for obtaining, inter-alia, Environmental clearance for Hydro Power Projects			
3 (C)	Conducting Technical studies and preparation of DPR/ Feasibility Report for obtaining inter-alia Environmental clearance for New and Renewable Energy			
(i)	Site selection			
(ii)	Preparation of Pre-feasibility Report			
(iii)	Preparation of plant layout			
(iv)	Geo Technical Studies	·		

A C- 1-	Particulars	Areas Applied for			
Area Code		(Please tick the one applied for)			
(v)	Socio-economic Studies/ Socio-impact assessment/ Corporate Social Responsibility Plans/ Resettlement and Rehabilitation plans plans				
(vi)	Topographical Survey				
(vii)	Area Drainage Studies				
(viii)	Hydrographical/ Hydrological Surveys				
(ix)	Environment Impact Assessment Studies / Environment Management Plans				
(x)	Preparation of DPR				
(xi)	Studies related to Forest and for obtaining clearance				
(xii)	Marine and Port Studies				
(xiii)	Conducting Public hearing				
(xiv)	Survey and Land Acquisition				
(xv)	Water availability and transportation (Coal and POL) Studies				
(xvi)	Consultancy Services for FGD				
(xvii)	Lender's Independent Engineer				
(xviii)	Any other activities/ studies (please mention)				
3 (D)	Power System Planning and Forecasting				
(i)	Demand Supply Analysis and Forecasting				
(ii)	Short, Medium and Long term				
3 (E)	Transmission				
(i)	Power Transmission - Route Survey, analysis and DPR preparation				
(ii)	Power Transmission – Obtaining Clearances/Approvals				
(iii)	Network studies and load flow studies				
(iv)	Smart Grid Technology				
(v)	Lender's Independent Engineer				
3(F)	Distribution				
(i)	Network studies and load flow studies				
(ii)	Project monitoring				
(iii)	Audit, inspection of materials and workmanship				
(iv)	Preparation of DPR, GPS Survey				
(v)	Any other activities/ studies (please mention)				
(vi)	Smart Grid Technology				
3 (G)	Coal Block/ Mines Studies				
(i)	Topographical survey, DGPS, exploration & GR preparation				
(ii)	Preparation of Mining plan, feasibility report and cost of production of coal				

Area Code	Particulars	Areas Applied for (Please tick the one applied for)		
(iii)	Audit, inspection of materials and workmanship			
(iv)	Forest land diversion			
(v)	Any other activities/ studies (please mention)			
3(H)	Engineering , Procurement and Construction for			
(i)	Thermal Power Projects			
(ii)	Hydro Power Projects			
(iii)	New and Renewable Energy Projects			
(iv)	Transmission Projects			
(v)	Distribution Projects			
4	Corporate Communications			
4(a)	Corporate film making and Audio visual publicity			
4(b)	Event management			
5	Information Management System			
5(a)	Website/software design and maintenance			
5(b)	IT Enabled Services			
6	Legal and contracts related services			
6(a)	Drafting, vetting, reviewing and evaluation of RFQ, RFP and Agreements for PPP projects			
6(b)	Drafting, vetting, reviewing and evaluation of RFQ, RFP and Agreements for other projects			
6(c)	Legal opinion on Land Acquisition Act, Electricity Act 2003, FEMA and any other Act, rules/procedures/documents of PFCCL etc.			
7	Manpower agency providing technical			
	professionals in			
	Generation/Transmission/Distribution/any			
0	other areas of Power Sector			
8	Architectural and Interior Designer			

(Signature of Authorised person on Behalf of the Organization)

FORM - III

BRIEF CVs OF KEY PERSONNEL (NOT MORE THAN 5)

Name :

Date of Birth :

Educational Qualifications :

Total experience in Number of years :

Years with the organisation applying

for empanelment

Experience (Last 10 years)

(list of positions held, giving dates/duration, names of organisations,

and brief responsibilities)

Consultancy assignments worked on in the Power Sector and in Infrastructure sector along with, title of assignment, clients name, location & scope of work in not more than two lines each.

:

(Signature of Authorised person on Behalf of the Organisation)

FORM - IV

SUMMARY OF PROFESSIONAL EXPERIENCE OF THE FIRM (Enclose Details Separately for Each Area Code Applied For)

In years :

Collaborations/JVs (if any)*

Areas of Professional experience :

Experience in India

- No. of Assignments undertaken in Power Sector :
- List of assignments with clients name & location against each assignment, Duration in Months.:
- No. of assignments undertaken in Infrastructure sector (Excluding Power):
- List of assignments with clients name & location of assignment,:
 Duration in months

Experience in Abroad:

- No. of Assignments undertaken in Power Sector:
- List of assignments with clients name & location against each assignment, Duration in Months.:
- No. of assignments undertaken in Infrastructure sector: (Excluding Power)
- List of assignments with clients name & location of assignment,:
 Duration in months

Summary:

- Total No. of assignments undertaken in India in all sectors. :
- Total No. of assignments undertaken abroad in all sectors. :

(Signature of Authorised person on Behalf of the Organisation)

^{*}Please Enclose Details of the Collaboration Agreement

FORM - V

MAJOR CONSULTANCY ASSINGMENTS UNDERTAKEN: (Enclose Details Separately for Each Area Code Applied For)

Not more than a total of 6 assignments undertaken in last 3 financial years (fill separately for each of the 6 assignments). Assignments should be chosen to highlight diversity of experience. Highlighting multiple assignments with similar experience should be avoided.

Name of assignment	:	
Name of Client		:
Location of Assignment		:
No. and Names of personnel deployed		:
Originally agreed time to complete the assignment in months		:
Actual time taken to complete the Assignment in Months	:	
Scope of work of assignment in detail (may attach sheets)		:
Deliverables of the assignment		:

(Signature of Authorised person on Behalf of the Organisation)