PFC CONSULTING LIMITED

(A wholly owned subsidiary of Power Finance Corporation Limited)

Empanelment of Consulting Organizations

PFC Consulting Limited (PFCCL) is a Consulting Organization providing consultancy services to the power sector since Oct.'99, making available expertise to various entities in order to strengthen the power sector and thereby bring about improvements in financial, technical and institutional management.

The services have been categorized as under:

Area	Particulars		
Code	Christians and Balling		
1	Strategy and Policy		
1(a)	Business plan		
1(b)	Human Resources Plan		
1(c)	Organisational Development Plan		
1(d)	Preparation of systems/rules/procedures/manuals		
2	Financial Services		
2(a)	Drafting, vetting, reviewing and evaluation of RFQ, RFP and Agreements for PPP projects		
2(b)	Drafting, vetting, reviewing and evaluation of RFQ, RFP and Agreements for other projects		
2(c)	Commercial support and due diligence		
2(d)	Logistics and Supply Chain		
2(e)	Transaction Services		
2(f)	Financial Restructuring of Entities		
2(g)	Resource Mobilization (Domestic and Foreign currency)		
2(h)	Mergers and Acquisition		
2(i)	Asset Valuation		
2(j)	Taxation		
2(k)	Insurance Advisory		
2(I)	Actuarial Valuation		
2(m)	Accounts Function		
2(n)	Internal Audit		
3	Project planning/structuring//conducting technical studies/preparation of Pre Feasibility		
	Report/Detailed Project Report		
3(A)	Conducting Technical studies and preparation of PFR/DPR for obtaining, inter-alia,		
2/0)	Environmental clearance for Thermal Power Projects		
3(B)	Conducting Technical studies and preparation of DPR/ Feasibility Report for obtaining, inter-		
3(C)	alia, Environmental clearance for Hydro Power Projects Conducting Technical studies and preparation of DPR/ Feasibility Report for obtaining inter-alia		
3(0)	Environmental clearance for New and Renewable Energy		
(i)	Site selection		
(ii)	Preparation of Pre-feasibility Report		
(iii)	Preparation of plant layout		
(iv)	Geo Technical Studies		
(v)	Socio-economic Studies/ Corporate Social Responsibility Plans/ Resettlement and Rehabilitation plans		

Area Code	Particulars		
(vi)	Topographical Survey		
(vii)	Area Drainage Studies		
(viii)	Hydrographical Surveys		
(ix)	Environment Impact Assessment Studies / Environment Management Plans		
(x)	Preparation of DPR		
(xi)	Studies related to Forest and for obtaining clearance		
(xii)	Marine and Port Studies		
(xiii)	Conducting Public hearing		
(xiv)	Survey and Land Acquisition		
(xv)	Water availability and transportation (Coal and POL) Studies		
(xvi)	Any other activities/ studies (please mention)		
Note			
3(D)	Power System Planning and Forecasting		
(i)	Demand Supply Analysis and Forecasting		
(ii)	Short, Medium and Long term		
3(E)	Transmission		
(i)	Power Transmission - Route Survey, analysis and DPR preparation		
(ii)	Power Transmission – Obtaining Clearances/Approvals		
(ii)	Network studies and load flow studies		
(iii)	Smart Grid Technology		
3(F)	Distribution		
(i)	Network studies and load flow studies		
(ii)	Project monitoring		
(iii)	Audit , inspection of materials and workmanship		
(iv)	Preparation of DPR		
(v)	Any other activities/ studies (please mention)		
(vi)	Smart Grid Technology		
3(G)	Coal Block/ Mines Studies		
(i)	Topographical survey, DGPS, exploration & GR preparation		
(ii)	Preparation of Mining plan, feasibility report and cost of production of coal		
(iii)	EIA/EMP studies		
(iv)	Forest land diversion		
(v)	Any other activities/ studies (please mention)		
3(H)	Engineering , Procurement and Construction for		
(i)	Thermal Power Projects		
(ii)	Hydro Power Projects		
(iii)	New and Renewable Energy Projects		
(iv)	Transmission Projects		
(v)	Distribution Projects		
4 (2)	Corporate Communications		
4(a)	Corporate film making and Audio visual publicity		
4(b)	Event management		

Area	Particulars		
Code			
5	Information Management System		
5(a)	Website/software design and maintenance		
5(b)	IT Enabled Services		
6	Legal and contracts related services		
6(a)	Drafting, vetting, reviewing and evaluation of RFQ, RFP and Agreements for PPP projects		
6(b)	Drafting, vetting, reviewing and evaluation of RFQ, RFP and Agreements for other projects		
6©	Legal opinion on Land Acquisition Act, Electricity Act 2003, FEMA, rules/procedures/documents		
	of PFCCL etc.		
7	Manpower agency providing technical professionals in		
	Generation/Transmission/Distribution/any other areas of Power Sector		
8	Architectural and Interior Designer		

Consultancy firms desirous of empanelling with PFCCL in one or more of the above mentioned 6 main areas and sub-areas may apply giving the particulars as per forms I to V.

Firms already empanelled with PFC Consulting Limited vide letter no. 08/Org/2014/Empnl/Org dated 30.09.2014 <u>need not re –apply.</u>

The application for empanelment/re-empanelment should be accompanied with a Demand Draft or Banker's Cheque of Rs.10,000/- towards <u>non-refundable processing fee</u>, payable to "PFC Consulting Limited" at New Delhi.

Foreign firms not having an office in India may also apply with payment of Processing Fee in Indian Rupees through their respective Embassy – Trade / Commerce wing.

The last date for receipt of the requests for the **quarter ending 31**st **December 2014 is 2**nd **February, 2015**. Henceforth, empanelment of consulting organizations will be carried out on a quarterly basis and applications received till the last day of the last month of each quarter will be processed and eligible Consulting Organisations would be empanelled with PFCCL by the end of the first month of succeeding quarter. The empanelment would be valid till 30th September 2016.

Please note:

- 1. Firms not having Service Tax Registration or not having applied for Service Tax Registration before the last date of Receipt of the requests will be rejected.
- 2. PFCCL reserves the right to accept or reject any other request for empanelment also without assigning any reason. Firms empanelled will be informed suitably.

Note: The Application in Hard Copy along with Bank Draft/ Banker's Cheque should be sent by Hand/Post/Courier. A soft copy should also be sent by e-mail or in a compact disc.

Apply to:

 VP (U#1)
 Tel: (011) 2345 6142

 PFC Consulting Limited
 Fax: (011) 2345 6170

 First Floor, Urja Nidhi,
 (011) 23456111

1, Barakhamba Lane, Email: pfcconsulting@pfcindia.com
Connaught Place,

New Delhi - 110 001

FORM - I

APPLICATION:

To,

VP (U#1)
PFC Consulting Limited
First Floor,Urja Nidhi,
1, Barakhamba Lane,
Connaught Place
New Delhi - 110 001

Dear Sir,

We wish to apply for empanelment/re-empanelment with PFCCL as a consulting Organisation as per details enclosed.

1	Name of the			
	Organisation			
	Address, Contact Nos. &			
2	E-Mail with Name and			
	Mobile No. of Contact			
	Person			
3	Year of Establishment			
4	Total Personnel			
	(Professionals only)	Number		
	,,			
5	Annual Turnover (last 3	Year	Year	Year
	financial years)	2013-14	2012-13	2011-12
	, ,			
		(Rs. In lakhs)	(Rs. In lakhs)	(Rs. In lakhs)
6		,	,	,
	Latest Audited Annual	: To be	e enclosed	
	Accounts	1.000	. enclosed	
7	Service Tax	· To be	e enclosed	
'	Registration (copy of	. 10 50	. enclosed	
	certificate or proof of			
	application submitted)			
	application submitted)			
8	Registration with SEBI	• To bo	e enclosed	
0	for Merchant Banking	. 10 be	e encioseu	
	Firms / With ICAI for CA			
	Firms or other statutory			
	registrations with a copy			
	of the same, as			
	applicable for the			
	services offered			

9	Specific Area of Expertise with Code(s), applied for empanelment.	: To be enclosed as per Form - II
10	Range of Services offered	: To be enclosed separately in not more than two pages.
11	Key Personnel (Professionals only)	Number (Brief of qualifications / experience of each of the key personnel to be enclosed as per Form - III).
12	Summary of Professional Experience of the Organisation , Separately for each Area Code Applied For	:To be enclosed as per Form – IV
13	Brief of Major Consultancy Assignments Undertaken, in last three financial years for each Area Code Separately. Assignments should be chosen to highlight diversity of experience, and highlighting multiple assignments with similar experience should be avoided	: To be enclosed as per Form – V

We affirm that the information provided is true, correct, complete and nothing has been concealed thereof. We undertake that in case any information provided is found incorrect at any time hereafter, our application/empanelment stands rejected/terminated as the case may be.

(Signature of authorised person on Behalf of the organization)

FORM - II

Specific Area of expertise with Code(s) applied for empanelment

Area Code	Particulars		eas Applied tick the one for)	
1	Strategy and Policy			
1(a)	Business plan			
1(b)	Human Resources Plan			
1(c)	Organisational Development Plan			
1(d)	Preparation of systems/rules/procedures/manuals			
2	Financial Services			
2(a)	Drafting, vetting, reviewing and evaluation of RFQ, RFP and Agreements for PPP projects			
2(b)	Drafting, vetting, reviewing and evaluation of RFQ,			
	RFP and Agreements for other projects			
2(c)	Commercial support and due diligence			
2(d)	Logistics and Supply Chain			
2(e)	Transaction Services			
2(f)	Financial Restructuring of Entities			
2(g)	Resource Mobilization (Domestic and Foreign			
	currency)			
2(h)	Mergers and Acquisition			
2(i)	Asset Valuation			
2(j)	Taxation			
2(k)	Insurance Advisory			
2(1)	Actuarial Valuation			
2(m)	Accounts Function			
2(n)	Internal Audit			
3	Project planning/structuring//conducting technical studies/preparation of Pre Feasibility Report/Detailed Project Report	3A	3B	3C
3(A)	Conducting Technical studies and preparation of PFR/DPR for obtaining, inter-alia, Environmental clearance for Thermal Power Projects	(Please specify 'Y' for 'Yes' and 'N' for 'No' for in-house expertise against each area		
3(B)	Conducting Technical studies and preparation of DPR/ Feasibility Report for obtaining, inter-alia, Environmental clearance for Hydro Power Projects	code)		
3(C)	Conducting Technical studies and preparation of DPR/ Feasibility Report for obtaining inter-alia			
	Environmental clearance for New and Renewable			
	Energy			
(i)	Site selection			
(ii)	Preparation of Pre-feasibility Report			
(iii)	Preparation of plant layout			

Area Code	Particulars	Areas Applied for (Please tick the one applied for)
(iv)	Geo Technical Studies	
(v)	Socio-economic Studies/ Corporate Social Responsibility Plans/ Resettlement and Rehabilitation plans	
(vi)	Topographical Survey	
(vii)	Area Drainage Studies	
(viii)	Hydrographical Surveys	
(ix)	Environment Impact Assessment Studies / Environment Management Plans	
(x)	Preparation of DPR	
(xi)	Studies related to Forest and for obtaining clearance	
(xii)	Marine and Port Studies	
(xiii)	Conducting Public hearing	
(xiv)	Survey and Land Acquisition	
(xv)	Water availability and transportation (Coal and POL) Studies	
(xvi)	Any other activities/ studies (please mention)	
	three area codes from 3(A/B/C)(iv) to 3(A/B/C)(x), was area code i.e. 3A/3B/3C; otherwise, they will be sub-area codes for which they have in-house expertise criteria.	e empanelled in the respective
3(D)	Power System Planning and Forecasting	
(i)	Demand Supply Analysis and Forecasting	
(ii)	Short, Medium and Long term	
3(E)	Transmission	
(i)	Power Transmission - Route Survey, analysis and DPR preparation	
(ii)	Power Transmission – Obtaining	
	Clearances/Approvals	
(ii)	Network studies and load flow studies	
(iii)	Smart Grid Technology	
3(F)	Distribution	
(i)	Network studies and load flow studies	
(ii)	Project monitoring	
(iii)	Audit , inspection of materials and workmanship	
(iv)	Preparation of DPR	
(v)	Any other activities/ studies (please mention)	
(vi)	Smart Grid Technology	
3(G)	<u> </u>	
1	Coal Block/ Mines Studies	
(i)	Coal Block/ Mines Studies Topographical survey, DGPS, exploration & GR preparation	
(i) (ii)	Coal Block/ Mines Studies Topographical survey, DGPS, exploration & GR	

Area Code	Particulars	Areas Applied for (Please tick the one applied
		for)
(iv)	Forest land diversion	
(v)	Any other activities/ studies (please mention)	
3(H)	Engineering, Procurement and Construction for	
(i)	Thermal Power Projects	
(ii)	Hydro Power Projects	
(iii)	New and Renewable Energy Projects	
(iv)	Transmission Projects	
(v)	Distribution Projects	
4	Corporate Communications	
4(a)	Corporate film making and Audio visual publicity	
4(b)	Event management	
5	Information Management System	
5(a)	Website/software design and maintenance	
5(b)	IT Enabled Services	
6	Legal and contracts related services	
6(a)	Drafting, vetting, reviewing and evaluation of RFQ, RFP and Agreements for PPP projects	
6(b)	Drafting, vetting, reviewing and evaluation of RFQ, RFP and Agreements for other projects	
6(c)	Legal opinion on Land Acquisition Act, Electricity Act 2003, FEMA, rules/procedures/documents of PFCCL etc.	
7	Manpower agency providing technical professionals in Generation/Transmission/Distribution/any other areas of Power Sector	
8	Architectural and Interior Designer	

(Signature of authorised person on Behalf of the organization)

FORM - III

BRIEF CVs OF KEY PERSONNEL (NOT MORE THAN 5)

Name :

Date of Birth

Educational Qualifications :

Total experience in Number of years

Years with the organisation applying

for empanelment

:

:

Experience (Last 10 years)

(list of positions held, giving dates/ duration, names of organisations,

and brief responsibilities)

Consultancy assignments worked on in the Power Sector and in Infrastructure sector along with, title of assignment, clients name, location & scope of work in not more than two lines each.

> (Signature of authorised person on Behalf of the organisation)

FORM - IV

SUMMARY OF PROFESSIONAL EXPERIENCE OF THE FIRM (Enclose Details Separately for Each Area Code Applied For)

In years :

Collaborations/JVs (if any)* :

Areas of Professional experience :

Experience in India:

- No. of Assignments undertaken in Power Sector :
- List of assignments with clients name & location against each assignment, Duration in Months.:
- No. of assignments undertaken in Infrastructure sector (Excluding Power) :
- List of assignments with clients name & location of assignment,:
 Duration in months

Experience in Abroad:

- No. of Assignments undertaken in Power Sector :
- List of assignments with clients name & location against each assignment, Duration in Months.:
- No. of assignments undertaken in Infrastructure sector: (Excluding Power)
- List of assignments with clients name & location of assignment,:
 Duration in months

Summary:

- Total No. of assignments undertaken in India in all sectors. :
- Total No. of assignments undertaken Abroad in all sectors. :

(Signature of authorised person on Behalf of the organisation)

^{*}Please Enclose Details of the Collaboration Agreement

FORM - V

MAJOR CONSULTANCY ASSINGMENTS UNDERTAKEN: (Enclose Details Separately for Each Area Code Applied For)

Not more than a total of 6 assignments undertaken in last 3 financial years (fill separately for each of the 6 assignments). Assignments should be chosen to highlight diversity of experience. Highlighting multiple assignments with similar experience should be avoided.

Name of assignment :

Name of Client :

Location of Assignment :

No. and Names of personnel deployed :

Originally agreed time to complete the assignment in months

Actual time taken to complete the :

Assignment in Months

Scope of work of assignment in detail :
(may attach sheets)

Deliverables of the assignment.

(Signature of authorised person on Behalf of the organisation)