Date: November 10, 2023

CORRIGENDUM NO. 4 TO SCHEME DOCUMENT FOR THE SIXTH ROUND OF AUCTION OF COAL LINKAGES UNDER PARAGRAPH B(ii) OF THE SHAKTI POLICY FOR IPPS HAVING ALREADY CONCLUDED LONG TERM PPAS

The following insertions are applicable to the original Scheme Document.

Annexure VI -Technical Details with respect to the Auction

1. Registration Methodology

In order to participate in the e-bidding process under SHAKTI Scheme, a Bidder would be required to register its Specified End Use Plant with the e-auction website of MSTC. For this, the Bidders should visit the website of MSTC namely https://www.mstcecommerce.com/auctionhome/shakti/indexr6.jsp and click on the button "Registration".

On the next page, the Bidder shall click on the link "Register as Bidder" and an online registration form will appear on the screen and the Bidder would be required to fill up the same. During this process, Bidder would be required to create its "user id" and "password" and keep note of the same. Bidder should ensure that the secrecy of its user id and password is maintained at all time and Bidder shall alone be responsible for any misuse of the user id and password. Bidder may also refer to the "Bidder's Guide" available online in the above mentioned website for assistance. The Bidder shall ensure that the entries made while filling up the Form are correct including the name of the Specified End Use Plant and should select the correct sub- sector name from the dropdown menu.

The Bidder registration on the Electronic Platform shall be linked to Specified End Use Plant and each Specified End Use Plant may be registered only once on the Electronic Platform. It must be noted that separate registrations are required to be made for individual Specified End Use Plant and not for individual units within the same Specified End Use Plant.

Bidder should read carefully the **GENERAL TERMS & CONDITIONS OF e-AUCTION** and **BIDDER SPECIFIC TERMS & CONDITIONS** (BSTC) before submitting the registration form.

On successful submission of the online registration Form, Bidder shall receive a confirmation email at the registered email address advising the Bidder to submit the following documents:

- 1. Self-attested Income Tax PAN Card. In case of a registered company or firm, the firm's PAN card and in case of a proprietorship firm, proprietor's personal PAN card is required.
- 2. Certificate mentioning GST Number.
- 3. Copy of the confirmation email Letter received from MSTC after successful completion of on-line registration and containing buyer registration details of the Bidder.
- 4. A non-refundable registration fee of Rs 10,000/- plus applicable GST to be paid by NEFT to the following bank account.

Beneficiary Name: MSTC Limited

Bank Details: Bank of Baroda, ASAF ALI ROAD, NEW DELHI Account

Details: A/c.No. 00930200000463

IFSC Code No.: BARB0ASAFAL (5th character of the IFSC code is ZERO)

The Bidder shall have to submit all the above documents along with the details of the payment made towards registration fee like UTR No, remitting bank name, date of payment and amount to MSTC and PFCCL for verification and activation of their login ids. The Bidder should send scanned copies of the above documents to the designated email ids only which are given below.

shaktib2@mstcindia.co.in & shakti_pfccl@pfcindia.com

Once the complete set of documents and requisite registration fee are received from the Bidder, MSTC shall activate Bidder's login after verification / scrutiny of the documents. MSTC reserves the right to call for additional documents from the Bidder if needed and the bidder shall be obliged to submit the same.

On completion of the above stated registration process, a Bidder shall be able to login to MSTC's website.

It may be noted that Bidders need not visit any of the offices of MSTC for submission of the aforementioned documents.

2. Submission of IPO

IPO. Bidder To submit has to login to а https://www.mstcecommerce.com/auctionhome/shakti/indexr6.jsp with its user id and password and click on the link Submit IPO. The bidder shall then have to click on the IPO event number and the page for submitting the IPO bids shall be available. IPO bids shall have to be submitted duly signed with the registered digital signature certificate/DSC of the bidder. IPO bids can be submitted online only and anytime from the start date and time of IPO submission to end date and time of IPO submission. A Bidder can re-submit its IPO bid by first deleting its old bids. All such revised bids can be submitted before the end date and time of IPO submission.

3. Payment towards Document Fee, Bid Security and Process Fee

The Bidders shall have to deposit Document Fee, Bid Security and Process Fee before final bid submission to PFCCL. The Document Fee shall be Rs. 5,00,000 (Rupees Five Lakh) plus applicable taxes. The Bid Security shall be Rs. 100/- per tonne of the quantity that the Bidder is willing to bid for. In addition, the Bidder shall also have to deposit a Process Fee of Rs. 29.50 per tonne of quantity that it intends to bid for. Thus, the Bidders shall have to pay Rs. 129.50 per tonne of the quantity that it intends to bid for.

To deposit the Document Fee, Bid Security and the Process Fee, the Bidder shall transfer the amount through RTGS only. Document Fee, Bid Security and Process Fee shall not be acceptable in any other mode. After remitting the amount, the Bidder shall have to send an intimation to MSTC and PFCCL giving details of the payment made including Bidder registration no, UTR No, amount, date of remittance, remitting bank etc. so that the payment can be traced at the end of PFCCL/MSTC with the identity of the Bidder. Such intimation should be sent to the following email addresses only.

shaktib2@mstcindia.co.in and shakti_pfccl@pfcindia.com

Bidders are advised to deposit the payment towards Document Fee, Bid Security and the Process Fee well in advance to avoid any last minute difficulty in remitting the payment. It should be noted that the payments received by PFCCL in their designated bank account only within the due date will be considered. Payment received after the due date shall not be considered. Bidders are also advised to ensure that the payment towards Document Fee, Bid Security and Process Fee is submitted by the Bidder from their bank accounts so that the identity of the remitter of the payment is correctly established at the end of PFCCL. PFCCL and/or MSTC shall not be responsible if the identity of the remitter of the payment can't be established correctly and for any subsequent loss to any Bidder. No interest shall be payable on the Bid Security and the Process Fee.

The Account details for payment towards Document Fee, Bid Security and the Process Fee is as below:

Beneficiary Name: PFC Consulting Limited E-Deep

A/C No. - 000705050220

Name of Bank - ICIC Bank, Connaught Place, New Delhi IFSC

Code: ICIC0000007

Calculation of Bid Security and Process Fee:

If a Bidder wishes to bid for Q (tonne) quantity of coal for a Specified End Use Plant, then it must have a balance Bid Security plus Process Fee of Rs 129.50 x Q before the start of the auction. For example, if a Bidder wishes to bid for 1,00,000 tonnes of coal, it must have a balance Process Fee and Bid Security of Rs. 1,29,50,000/- before the start of auction.

4. The Document Fee, Bid Security and the Process Fee credited to PFCCL's designated bank account up to the bank closing hours on the last date fixed for submission of the Document Fee, Bid Security and Process Fee shall only be considered for the Auction and no amount credited on subsequent day after the last date shall be considered even if the transaction was made the previous day. For example, if the last date of submission of the Document Fee, Bid Security and the Process Fee is stipulated as November 18, 2023, only such amount will be considered for the Auction which will get credited by the close of banking hours on November 18, 2023 Amount received on November 19, 2023 and beyond shall not be considered even if the Bidder had tried to remit the money on November 18, 2023.

Submission of Scanned Copies of Documents

Bidders shall upload the scanned copies of the following documents on the Electronic Platform:

- a. an Undertaking in the format set out in **Annexure III**;
- b. a Power of Attorney in the format set out in **Annexure IV**;
- c. Certified true copy of the relevant authorizations authorizing the execution of power of attorney e.g. letter of authority, resolution of the board of directors, resolution of the shareholders etc.; and
- d. An Affidavit in the format set out in **Annexure V**.
- e. In order to upload the documents, the bidder shall have to click on the link "Upload Documents" provided under their logins and upload the appropriate files against the options given therein.

Submission of Original Documents

The documents set out in Clause 4 of the Scheme Document are required to be submitted in original in sealed envelopes, setting out on the covering envelope "Original Documents for SHAKTI Scheme Auction – Round 6". The Bidders must also mention the name of the Bidder submitting the documents on the envelope. The sealed envelope(s) shall be submitted at the following address:

Chief General Manager, PFC Consulting Limited, 9th Floor, A Wing, Statesman House, Barakhamba Road, Connaught Place, New Delhi – 110001

5. Digital Signature Certificate

A Bidder shall be required to possess a valid Digital Signature Certificate (DSC) of signing type to be able to submit its bid and to participate in the electronic auction on MSTC website. For this purpose, Bidders shall be required to authorize its Authorized Signatory to procure a class III DSC of signing type from any certifying authority or their authorized agencies in India.

The bidder may note that only one user id will be mapped with a given DSC for the Authorized Signatory. DSC once mapped with a particular user id of a bidder will normally not be changed and therefore Bidders are advised to carefully select while registering their DSC on the web portal. The Digital Signature Certificate will be used to digitally sign the bids that the Bidder will submit online.

It will be the sole responsibility of the Bidder and its respective Authorized Signatory to maintain the secrecy of the password for the Digital Signature Certificate. The Bidder and its Authorized Signatory shall be solely responsible for any misuse of the DSC and no complain / representation in this regard shall be entertained at any stage by MSTC/PFCCL.

The system requirements are as follows:

- Operating System- Windows 7 and above
- Web Browser- Preferred IE 7 and above.
- Active-X Controls Should be enabled as follows:

Tools =>Internet Options =>Security =>Custom Level =>Enable all Active-X Controls =>Disable "Use Pop-up Blocker"

Java (JRE8 and above)

To disable "Protected Mode" for DSC to appear in the signer box following settings may be applied.

- Tools => Internet Options => Security => Disable protected Mode If enabled- i.e, Remove the tick from the tick box mentioning "Enable Protected Mode".
- Other Settings:

Tools => Internet Options => General => Click On Settings under "browsing history/ Delete Browsing History" => Temporary Internet Files => Activate "Every time I Visit the Webpage".

• Add the website to the list of Compatibility View by Tools => Compatibility View Settings.

6. Contact Details

Please note that shakti_pfccl@pfcindia.com will serve as official e-mail addresses for communication relating to the Auction Process.

The Bidders may contact the following officials of MSTC Limited/ PFCCL for seeking assistance on the login or registration process or any clarification regarding the Auction Process:

| Sl. No. | Name | Email | Contact No |
|------------|--------------------|---------------------------|--------------|
| 1. | Sh. Setu Sharma | nroopn3@mstcindia.in | 7878055855 |
| 2. | Smt. Unneti Saini | nroopn7@mstcindia.in | 7471118456 |
| 3. | Sh. Anubhav Kansal | shakti_pfccl@pfcindia.com | 011-23443910 |